

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 14917.415US01 (formerly 164055.01)	Application Number: 10/021,392
	Applicant: Dewey et al.	
	Filing Date: 12/12/01	Group Art Unit: 2162

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
cy	5,113,519	May 12, 1992	Johnson			May 15, 1989
	5,218,696	June 8, 1993	Baird			September 17, 1992
	5,261,051	November 9, 1993	Masden, et al.			August 14, 1989
	5,265,261	November 23, 1993	Rubin, et al.			February 2, 1993
	5,437,013	July 25, 1995	Rubin, et al.			August 26, 2003
	5,535,375	July 9, 1996	Eshel			October 11, 1994
	5,560,008	September 24, 1996	Johnson			May 15, 1989
	5,588,117	December 24, 1996	Karp			May 23, 1994
	5,764,887	June 9, 1998	Kells			December 11, 1995
	5,931,913	August 3, 1999	Meriwether			May 7, 1997
	6,092,199	July 18, 2000	Dutcher			July 7, 1997
	6,208,952	March 27, 2001	Goertzel			October 24, 1996
	6,219,799	April 17, 2001	Kandasamy			August 1, 1997
	6,324,581	November 27, 2001	Xu			March 3, 1999
	6,401,123	June 4, 2002	Shields			November 24, 1998
	6,453,354	September	Jiang			March 3, 1999

EXAMINER <i>Campanelli</i>	DATE CONSIDERED <i>3/14/07</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 14917.415US01 (formerly 164055.01)	Application Number: 10/021,392
	Applicant: Dewey et al.	
	Filing Date: 12/12/01	Group Art Unit: 2162

		17, 2002				
Cu	6,658,476	December 2, 2003	Van			November 29, 1999
	6,910,082	June 21, 2005	Marcotte			November 18, 1999
	20020019874	February 4, 2003	Borr			October 21, 1998
	20030112754	June 19, 2003	Ramani			December 14, 2001
	20030140129	July 24, 2003	Livnat			January 24, 2002
	20040215794	October 28, 2004	Lauer			April 11, 2003
	20040260748	December 23, 2004	Springer			June 19, 2003
	20040267932	December 30, 2004	Voellm			June 30, 2003
	20050010670	January 13, 2005	Greschler			July 20, 2004
	20050060442	March 17, 2005	Beverly			September 15, 2003
	20050138528	June 23, 2005	Ameigeiras			February 5, 2004
	20050182850	August 18, 2005	Kohno			November 19, 2004
	20050198113	September 8, 2005	Mohamed			December 31, 2003
	20050262103	November 24, 2005	Stakutis			July 12, 2005

EXAMINER <i>Cammy</i>	DATE CONSIDERED 3/14/07
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 14917.415US01 (formerly 164055.01)	Application Number: 10/021,392
	Applicant: Dewey et al.	
	Filing Date: 12/12/01	Group Art Unit: 2162

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
to cy	WO03096646	November 20, 2003	WIPO				
cy	EP1259045	November 20, 2002	EU				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

cy		Talpey, Tom. "NFSv4 Session Extensions," IETF STANDARD-WORKING-DRAFT, INTERNET ENGINEERING TASK FORCE, IETF, CH, vol 1, nfsv4, no. 1, February, 2005; pp. 1-68.
		Shepler, S.; Callaghan, B.; Robinson, D.; Thurlow, R. "Network File System (NFS) version 4 Protocol," Sun Microsystems S et al, IETF Standard, Internet Engineering Task Force, IETF, CH, April 2003, pp. 1-275.
		"MSRPC Update and SMB Update," May 20, 2004, [2 pages]. http://marc.theaimsgroup.com/?l=nfr-users&m=108509229309130&w=2 (retrieved on 2006-01-18)
		Geenberg S, Keene B, "NFILE - A File Access Protocol," IETF Standard, Internet Engineering Task Force, IETF, CH, XP015005976, ISSN : 0000-0003, December 1987, pp. 1-86.
		Gu, Yunhong et al. "SABUL: A High Performance Data Transfer Protocol," (Publication date not available), [11 pages].
		Pawlowski, Brian et al. "The NFS Version 4 Protocol" (Publication date not available), [20 pages].
		Alvisi, Lorenzo et al. "Low-Overhead Protocols for Fault-Tolerant File Sharing," Department of Computer Science, The University of Texas at Austin, May 26-29 1998, [10 pages].
		Hartman, John Henry. "The Zebra Striped Network File System," 1994, pp. 1-148.
		Vanwasi, A.K. "Unleashing the power of P2P," 2001, [5 pages].
		Hiltunen, Matti et al. "Implementing Integrated Fine-Grain Customizable QoS using Cactus," 1999, [2 pages].
		"SMB: The Server Message Block Protocol," 1999, [108 pages].
		Pranevich, Joseph. "The Wonderful World of Linux 2.6," 2003, [17 pages].
		CIFS http://msdn.microsoft.com/library/default.asp?url=/library/en-us/cifs/protocol/cifs.asp
		CIFS or Public SMB Information on Common Internet File System http://support.microsoft.com/default.aspx?scid=kb;en-us:199072
		Microsoft SMB Protocol and CIFS Protocol Overview http://msdn.microsoft.com/library/default.asp?url=/library/en-us/fileio/fs/microsoft_smb_protocol_and_cifs_protocol_overview.asp
		Satyandrayanan, Mahadey et al., <i>Scalable, Secure and Highly Available Distributed file Access</i> , Computer, May 1990, pp. 9-21

EXAMINER <i>Cammy</i>	DATE CONSIDERED <i>3/14/07</i>
--------------------------	-----------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 14917.415US01 (formerly 164055.01)	Application Number: 10/021,392
	Applicant: Dewey et al.	
	Filing Date: 12/12/01	Group Art Unit: 2162

Cy		Szczerbina, P., <i>Novell's NetWare Core Protocol</i> , Dr. Dobb's Journal, Nov. 1993, pp. 123-132
		Morris, J.H., <i>Andrew: A Distributed Personal Computing Environment</i> , Communications of the ACM, vol. 29, No. 3, Mar. 1986, New York, pp. 184-201
		Mullender, S.J., <i>A Distributed File Service Based on Optimistic Concurrency Control</i> , Proceedings of the Tenth ACM Symposium on Operating Systems Principles, Dec. 1-4, 1985, Orcas island, WA, pp. 51-62
		Linn, J., <i>Generic Security Service Application Program Interface Version 2, Update 1</i> , RFC 2743, January 2000, http://www.ietf.org/rfc/rfc2743.txt
		Internet Assigned Numbers Authority, <i>Well-Known Port Numbers</i> , http://www.iana.org/assignments/port-numbers
		Rivest, R., <i>The MD5 Message-Digest Algorithm</i> , RFC 1321, April 1992, http://www.ietf.org/rfc/rfc1321.txt
		Krawczyk, J. et al., <i>HMAC: Keyed-Hashing for Message Authentication</i> , RFC-2104, February 1997, http://www.ietf.org/rfc/rfc2104.txt
		Microsoft Corporation, "Kerberos Protocol Extensions Specification," May 2006, URL TBD
		Klima, V., "Tunnels in Hash Functions: MD5 Collisions Within a Minute," Cryptology ePrint Archive, March 2006, http://eprint.iacr.org/2006/105.pdf
		Zhu, L., Leach, P., Jaganathan, K., and Ingersoll, W., "The Simple and Protected Generic Security Service Application Program Interface (GSS-API) Negotiations Mechanism," RFC-4178, October 2005, http://www.ietf.org/rfc/rfc4178.txt
		Microsoft Corporation, "Microsoft FSCTL Structure Specification," May 2006, "Microsoft FSCTL Structure.doc," URL TBD
		Leach, Paul; Naik, Dilip C., <i>A Common Internet File System (CIFS/1.0) Protocol</i> , Draft-leach-cifs-v1-spec-02, March 13, 1997, http://www.microsoft.com/mscorp/ip/protocols/BSTD/CIFS

27488

PATENT TRADEMARK OFFICE

EXAMINER	<i>Camryn</i>	DATE CONSIDERED	3/11/01
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.			

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 14917.0415US01	Application Number: 10/021,392
	Applicant: Dewey, et al.	
	Filing Date: 12/12/01	Group Art Unit: 2162

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>cy</i>	5,978,802		Hurvig			
	4399504	August 1983	Obermanck et al.			
	4887204	December 1989	Johnson et al.			
	5202971	April 1993	Henson et al.			
	5261051	November 1993	Masden et al.			
	5349642	September 1994	Kington			
	5410697	April 1995	Baird et al.			
	5452447	September 1995	Nelson et al.			
	5493728	February 1996	Solton et al.			
	5513314	April 1996	Kandasamy et al.			
	5560008	September 1996	Johnson et al.			
	5628005	May 1997	Hurvig			
	4780821	October 1988	Crossley			
	4791566	December 1988	Sudama et al.			
	4825354	April 1989	Agrawal et al.			
	4891785	January 1990	Donohou			
	4914570	April 1990	Peacock			
	5008853	April 1991	Bly et al.			
	5109519	April 1992	Zimmet et al.			
	5113519	May 1992	Johnson et al.			

FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

27488

PATENT TRADEMARK OFFICE

EXAMINER <i>Cammy</i>	DATE CONSIDERED <i>3/14/07</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number:	Application Number:
	14917.0415US01	10/021,392
	Applicant: Dewey, et al.	
	Filing Date: 12/12/01	Group Art Unit: 2162

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
Cej	6910082					
	6453354					
	6324581					
	6219799					
	6092199					
	5764887					
	5560008					
	5535375					
	5261051					
	5113519					
	20050262103					
	20050010670					
	20020019874					
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
						YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
Cej		The Zebra Striped Network File System (Scientific Paper) John Henry Hartman Publication Date: 1994 http://www.cl.cam.ac.uk/Research/SRG/netos/plana/dump/zebra.pdf				
Cej		SMB: The Server Message Block Protocol (Scientific Paper) Christopher R. Hertel Publication Date: June 08, 1999 (http://www.opencontent.org/openpub/) http://ubiqx.org/cifs/SMB.html				
		FIFS: A Framework for Implementing User-Mode File Systems in Windows NT (Scientific Paper) Danilo Almeida Paper - Proceedings of the 3rd USENIX Windows NT Symposium				

EXAMINER	<i>Cammey</i>	DATE CONSIDERED	9/14/07
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.			

FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 14917.0415US01	Application Number: 10/021,392
	Applicant: Dewey, et al.	
	Filing Date: 12/12/01	Group Art Unit: 2162

<i>ar</i>		Seattle, Washington Publication Date: July 12-15, 1999 Page(s): 123-134 http://www.usenix.org/publications/library/proceedings/usenix-nt99/full_papers/almeida/almeida_html/
		Inside Microsoft Networking (Scientific Paper) Andrew Tridgell Publication Date: June 25, 1998 http://public.www.planetmirror.com/pub/sage-au/Conference-Papers/sage-au98/tridgell/tridgell.ps
		Leach, et al., "CIFS/E Browser Protocol," Internet Working Group, January 10, 1997. (draft-leach-cifs-browser-spec-00.txt)
		Leach, et al., "CIFS Logon and Pass Through Authentication," Internet Working Group, January 3, 1997. (draft-leach-cifs-logon-spec-00.txt)
		Leach, et al., "CIFS Printing Specification," Internet Working Group, January 31, 1997. (draft-leach-cifs-print-spec-00.txt)
		Leach, et al., "CIFS Remote Administration Protocol," Internet Working Group, February 26, 1997. (draft-leach-cifs-rap-spec-00.txt)
		Leach, et al., "A Common Internet File System (CIFS/1.0) Protocol," Internet Working Group, March 13, 1997. (draft-leach-cifs-v1-spec-02.txt)

27488

PATENT TRADEMARK OFFICE

EXAMINER <i>Canmy</i>	DATE CONSIDERED <i>3/14/07</i>
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	